



1850 General George Patton Dr.  
Suite 205  
Franklin, TN 37067  
(800) 677-6025

Efficient Goal-Based Solutions

## Disclosure Brochure - Officers

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**This brochure supplement provides information about the members of PlanRock Wealth Management, LLC senior management (the “Supervised Persons”) and supplements the PlanRock Wealth Management, LLC disclosure brochure. You should have received a copy of the PlanRock Wealth Management, LLC disclosure brochure. Please contact Support at 800-677-6025 if you did not receive PlanRock Wealth Management, LLC's disclosure brochure or if you have any questions about the contents of this supplement. Additional information each of the Supervised Persons is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

### General Information and Definitions

PlanRock Wealth Management, LLC (“PlanRock”) is registered as an investment adviser and offers clients a variety of investment advisory and portfolio development services. The general advisory services provided by PlanRock are described in more detail in PlanRock’s Form ADV Part 2 Disclosure Brochure (the “PlanRock General Disclosure Brochure”).

Please contact us (800) 677-6025 if you did not receive the PlanRock Disclosure or if you have any questions about the contents of this supplement. PlanRock’s registration as an investment adviser does not imply any level of skill or training.

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### LISTING OF ADVISORS COVERED IN THIS SUPPLEMENT

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**Stephen Hammers**

# Stephen Hammers

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Managing Partner, Chairman, Chief Investment Officer

**Address:** 6234 Belle Rive Dr, Brentwood, TN 37027

**Phone Number:** (800) 677-6025 Ext 700

## Educational Background and Business Experience

**Full Legal Name:** Stephen Hammers

**Born:** 1968

### **Education**

- Middle Tennessee State University; Bachelor of Science/Aerospace; 1990

### **Business Experience**

- PlanRock; Chairman/Chief Investment Officer; Franklin, TN; 7/1/19 to Present
- Victory Capital Management; Chief Investment Officer/Chief Portfolio Strategist; Brentwood, TN; 5/1/2015 to 6/30/2019
- Compass Efficient Model Portfolios (Compass EMP Funds); Chief Investment Officer; Brentwood, TN; President/CEO; 3/15/2003 to 4/30/2015
- Merrill Lynch; Senior Vice President/Financial Advisor; Brentwood, TN 5/1/1995 to 3/14/2003

## Disciplinary Information

Stephen Hammers has no legal or disciplinary events to report

## Other Business Activities

As noted below, in addition to representing PlanRock, Mr. Hammers from time to time act in other business activities as described below.

### **Investment-Related Activities**

In addition to his role as a managing partner and advisor representative of PlanRock LLC, Mr. Hammers is not engaged in any other investment related activities.

### **Non-Investment-Related Activities**

In addition to the above described, Mr. Hammers is engaged, actively, in business activities outside of his activities and responsibilities at PlanRock Wealth Management, LLC. This activity is called AVS Aircraft, an Aircraft related business. In this business function He deals in aircraft accessories and flight instruction. Mr. Hammers has been involved in this business since March 29, 2006.

These activities provide a minimal source of Mr. Hammers' income and involve a minimal amount of Mr. Hammers' time.

## Additional Compensation

Mr. Hammers receives compensation from PlanRock LLC as a Managing Partner of PlanRock. Mr. Hammers' compensation will take into account the profitability of PlanRock; as a result, will take into account the fees and other income received over time by PlanRock in connection with services provided to PlanRock clients. Further, Mr. Hammers has a financial incentive to promote PlanRock services. Mr. Hammers may receive referral or other compensation in connection with services provided to PlanRock.

### Supervision

PlanRock has established policies and procedures (in the “Compliance Manual”) to govern the activities of all supervised persons, including Mr. Hammers. Additionally, all PlanRock supervised persons engaged in investment advisory activities, including Mr. Hammers, are subject to PlanRock’s Code of Ethics that establishes policies and procedure to address potential conflicts of interest that may arise in connection with the provision of investment advisory services to Clients. Additional information concerning PlanRock’s Code of Ethics is contained in the PlanRock Disclosure Brochure.

As an Owner (Managing Partner) of PlanRock Wealth Management, LLC, Stephen Hammers supervises his own activities.